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JCS96 US PTO

# Utility Patent Application Transmittal

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                                                            |
|------------------------|------------------------------------------------------------|
| Attorney Docket Number | 06514069CON                                                |
| First Named Inventor   | Stephen E. Lincoln et al.                                  |
| Title                  | Database For Storage and Analysis of Full-Length Sequences |
| Express Mail Label No. | EL 923 483 031 US                                          |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
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| <p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification<br/>(preferred arrangement set forth below) <span style="float: right;">Total Pages <b>60</b></span></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claims(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">Total Sheets <b>19</b></span></p> <p>5. Oath or Declaration <span style="float: right;">Total Pages <b>6</b></span></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input checked="" type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-Rom or CD-R (2 copies); or</p> <p>ii. <input checked="" type="checkbox"/> Paper</p> <p>c. <input checked="" type="checkbox"/> Statements verifying identity of above copies</p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08/969,987 filed November 13, 1997

Prior Application Information: J. Martinell Examiner Group Art Unit: 1633

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## Fee Calculation

## CLAIMS AS FILED

| For                                                                      | #Filed | # Allowed | #Extra | Rate | Fee        |
|--------------------------------------------------------------------------|--------|-----------|--------|------|------------|
| Total Claims                                                             | 27     | 20        | 7      | x 18 | \$126.00   |
| Indep. Claims                                                            | 6      | 3         | 3      | x 80 | \$240.00   |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |        |           |        |      |            |
| TOTAL CLAIM FEES                                                         |        |           |        |      | \$366.00   |
| BASIC FILING FEE                                                         |        |           |        |      | \$710.00   |
| OTHER FEE (specify purpose) _____                                        |        |           |        |      |            |
| TOTAL                                                                    |        |           |        |      | \$1,076.00 |

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this sheet is enclosed.

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

19. ☒ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

**19. CORRESPONDENCE ADDRESS**

|                         |                                |                  |                                 |     |       |
|-------------------------|--------------------------------|------------------|---------------------------------|-----|-------|
| Name (Print/Type)       | Carol L. Francis               | Registration No. | 36,513                          |     |       |
| Signature               | <i>Carol L. Francis</i>        | Date             | 09-19-2001                      |     |       |
| Firm Name               | Bozicevic, Field & Francis LLP | Address          | 200 Middlefield Road, Suite 200 |     |       |
| City                    | Menlo Park                     | State            | California                      | zip | 94025 |
| Telephone - Direct Dial | 650-833-7713                   | Fax              | 650-327-3231                    |     |       |

10/10/01 10:00 AM

**CERTIFICATION REGARDING SEQUENCE LISTING**

|                      |                           |
|----------------------|---------------------------|
| Application Number   | Unassigned                |
| Confirmation Number  | N/A                       |
| Filing Date          | September 19, 2001        |
| First Named Inventor | Stephen E. Linclon et al. |
| Examiner             | J. Martinell              |
| Group Art            | 1633                      |
| Attorney Docket No.  | 06514069CON               |

ADDRESS TO: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the enclosed Sequence Listing is being submitted in paper copy and on a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

I hereby certify that the enclosed submission includes no new matter.

|                         |                                |       |            |     |                                 |
|-------------------------|--------------------------------|-------|------------|-----|---------------------------------|
| Signature               | <i>Carl S. Jarecki</i>         |       | Date       |     | 09-19-2001                      |
| Firm Name               | Bozicevic, Field & Francis LLP |       | Address    |     | 200 Middlefield Road, Suite 200 |
| City                    | Menlo Park                     | State | California | zip | 94025                           |
| Telephone - Direct Dial |                                |       | Facsimile  |     | 650-327-3231                    |

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231.

|                   |                     |           |                       |      |            |
|-------------------|---------------------|-----------|-----------------------|------|------------|
| Name (Print/Type) | Steven F. Goldstein | Signature | <i>St F Goldstein</i> | Date | 09-19-2001 |
|-------------------|---------------------|-----------|-----------------------|------|------------|